

# Choosing a **RISK MANAGEMENT SYSTEM ...** **... in today's uncertain world JUST GOT EASIER**



Risk management is not about eliminating risks, but taking on board risks and managing them to an acceptable level. There is no profit without risk.

Many organisations are looking for a mechanism to ensure efficient investment of resources to counter the ever-increasing types of enterprise risks, the increasing cost of insurance, claims and litigation.

Our cutting edge tools and independent research has leveled the playing field. Your industry knowledge and our software expertise is the best combination to reduce your risks.

**RiskEasy®** is based on a simple proven approach to risk reduction, supporting AS/NZS 4360 and other international standards such as ISO 27001 and COSO.

The Incom library of risk templates make it easy for you to get up and running quickly

**INCOM RISK DASHBOARD**

Monitor Risks: Top Fifty Risks by Enterprise Rating

Input:	8	Open / Active:	3	Suspended:	1	Cancelled:	1	Total Risks:	95
Reviews Overdue:	71	Risks Due:	0	Risk Categories:	106	Categories Used:	46	Risk Owners:	26
Active Owners:	25	Extreme risks:	2	High risks:	9				

Risks - Last 12 Months

Styl:	Consequence	Rating	Residual
Almost certain	Insignificant	Minor	1
Likely	Possible	Moderate	2
Possible	Major	Catastrophic	3
Unlikely	Minor	Moderate	4
Rare	Major	Catastrophic	5

**INCOM RISK SEARCH**

Division: All Divisions | Department: All Departments | Area at Risk: All Areas

Risk Type: All Types | Risk Category: All Categories | Status: All Status

Location: All Locations | Risk Owner: All Owners

S	A	R	P	Tr	Risk	Likelihood	Consequence	Rating	Residual
0	0	0	0	0	Risk 01 - Development of New Talent	Possible	Major	High risk	High risk
0	0	0	0	0	Risk 02 - Reliance Of Few Customers	Possible	Major	High risk	High risk
0	0	0	0	0	Risk 03 - Volatility of Results	Possible	Moderate	Moderate risk	Moderate risk
0	0	0	0	0	Risk 04 - Sustainability of Cost Structure	Almost certain	Catastrophic	Extreme risk	Moderate risk
0	0	0	0	0	Risk 05 - Critical IT Failure	Possible	Major	High risk	High risk
0	0	0	0	0	Risk 06 - Failed Implementation	Possible	Catastrophic	High risk	High risk
0	0	0	0	0	Risk 12 - Customer affected by staff changes	Possible	Moderate	Moderate risk	Moderate risk
0	0	0	0	0	Risk 42 - Intellectual Property	Possible	Moderate	Moderate risk	Moderate risk
0	0	0	0	0	Risk 45 - Security Data/Physical Access	Possible	Major	High risk	High risk
0	0	0	0	0	Risk 49 - Communications Data/Voice	Possible	Major	High risk	High risk

**Risk (Rating)**

Total of Residual Risk (Rating) | Total of Retained Risk (Value)

Risk Type

Risk Type	Percentage
Child Abuse	1%
Compliance	1%
Employee	1%
Excursion Accident	1%
Financial Risk	1%
Fraud	1%
Human Error	1%
Natural	1%
Neglect	1%
Operational Risk	1%
Process	1%
Security	1%
Technical	1%
Theft	1%
Train Management	1%

**INCOM RISK REVIEWS DUE**

Owner	Risk Type	Risk Category	Risk Master	Item Date
Risk Coordinator - Peter K	Review Overdue	Procedural	Outstanding aged items	14/12/2006
Risk Coordinator - Peter K	Review Overdue	Procedural	Contractor's Reconciliation	27/12/2006
Risk Coordinator - Peter K	Review Overdue	Procedural	Separate Custodial Accounts by Investor	14/12/2006
Risk Coordinator - Peter K	Review Overdue	Procedural	Custodial Account Reconciliation	14/12/2006
Risk Coordinator - Peter K	Review Overdue	Procedural	Movement on Fund Accounts	14/12/2006
Risk Coordinator - Peter K	Review Overdue	Reg AB	Clearing Accounts maintained for Custodial Activity	14/12/2006
Risk Coordinator - Peter K	Review Overdue	Procedural	Outstanding Items identified and researched	14/12/2006
Risk Coordinator - Peter K	Review Overdue	Procedural	Reconciliation Results	14/12/2006
Risk Coordinator - Peter K	Review Overdue	Procedural	Overdrafts	14/12/2006
Risk Coordinator - Peter K	Review Overdue	Reg AB	Cash held safeguarded	27/12/2006
Risk Coordinator - Peter K	Review Overdue	Reg AB	Contractual default triggers are monitored	1/11/2006
Risk Coordinator - Peter K	Review Overdue	Reg AB	Unauthorized disbursements to Investors	1/11/2006

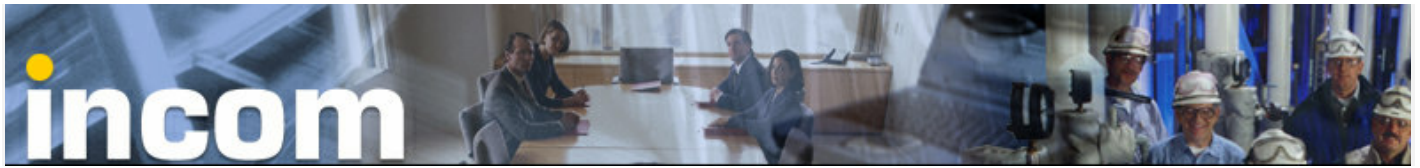
Incom customers include health services, emergency services, police services, local government, federal government, engineering, telecoms, energy, manufacturing, mining and exploration, construction, insurance, financial, major sporting clubs and events, universities and by audit, OH&S, project and IT departments.

Incom has customers world wide - Australia - USA - Canada - EU - NZ - HK - Malaysia - Brazil - Estonia - Thailand - Hungary, South Africa - Kosovo - Uganda, etc.

**RiskEasy®** facilitates risk assessments and manages data by risk category, asset/area at risk, location, department, division, risk assessor, risk owner, risk contact, treatment owner, treatment type and responsible party.

**RiskEasy®** provides risk review and treatment due reminders by task list or by automated email. There are many ways to slice and dice the information to produce snapshot reports of top risks and to monitor controls and treatment progress.

**Taking control of your risks has never been easier**



## Configurable System

The risk management structure may be altered to suit your business. All data fields may be renamed to suit your business. In fact **RiskEasy®** has been totally translated to Spanish, Portuguese, Chinese, German and Thai.

The risk matrix may be selected from 5by5, 9by9, or a triage style matrix where the highest likelihood, consequence and risk ratings are all 1. A triage style risk matrix is used in the health industry where '1' is the most extreme risk.

Any number of risk categories may be setup. Any number of risks may be entered against any category, asset, department, division, etc. An estimate of the likelihood and consequence is assigned to each risk and the risk rating is derived by the system. Any number of treatments may be applied to any risk, whereby the treatment may reduce the likelihood or the consequence, thereby reducing the risk rating to an acceptable level.

## Technical Description

There is a single-user **RiskEasy®** Risk Register and the multi-user **Enterprise RiskEasy®**. The multi-user system starts at 5 users and user numbers may be added as you deploy the system enterprise-wide.

The software is a web enabled Microsoft .NET application using SQL Server relational database supporting hundreds of decision makers across the enterprise. It includes Microsoft Windows single sign-on authentication, role based security for risk management in context, Internationalization, and translatability to any other natural (human) language.

Incom provides user and technical training and support, software maintenance and upgrades.

## Powerful System

All risk reports allow risks to be selected and filtered by category, asset, department, division, etc. An Executive Risk Summary report cuts right across the risk register (or within a specific context) to report the highest risks giving a quick snapshot for senior executives.

**RiskEasy®** monitors itself with aggregated data such as the number of risks relating to each category, active risks, high risks, critical risks; risk reviews due and overdue, treatments due and overdue, treatments in progress and completed, risk and treatment contacts and owners.

Any user may list risk reviews and treatment actions for which they are responsible. A risk coordinator may also activate an automated e-mail alert process to remind owners of overdue tasks. The package has a Reporting Wizard and Charting Wizard with powerful graphical charting capabilities.

## Incom® for Risks and Incidents

**RiskEasy®** is a product within Incom's overall software suite known as **INCOM®**, and may be integrated with:

- Enterprise Incident Register™ to manage workplace injury and other incidents
- Enterprise Issue Register™ to manage the multitude of issues you may have
- Compliance Assessments for auditing of enterprise processes and systems

All software has the same look and feel.

Contact Incom or resellers for demonstrations of these advanced software packages.

Contact Incom on +612 9417 2480 or visit [www.incom.com.au](http://www.incom.com.au)

**Taking control of your risks has never been easier**

You decide the risk matrix you want to use.

Single-user  
or  
Multi-user  
for  
Projects  
or  
Enterprise